

07-05-02

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Atty. Dkt. No. 074066-0115 Or CENTMED.016CPJ

WE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Greg Fahy and Jun Wu

Title:

Polyglycerol and Lactose

Compositions for the Protection of Living Systems from States of

Reduced Metabolism

Appl. No.;

10/066,285

Filing

02/01/2002

Date:

Examiner: To Be Assigned

Art Unit:

1755

CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231. EF415701840US July 2, 2002 (Express Mail Label Number) (Date of Deposit) VICENTE M. BARAIRO (Signature)

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Commissioner for Patents Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on April 02, 2002, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [X] Petition for Extension of Time under 37 CFR 1.136(a)
- [X] Assertion of Small Entity Status under 37 CFR 1.27
- [X] Declaration and Power of Attorney (4 pages)
- [X] Return Copy of Notice to File Missing Parts
- [X] Substitute Drawings under 37 CFR 1.84 (5 sheets)

[X] Check in the amount of \$625.00 in payment of:

Total	\$625.00
Additional claim fees	\$135.00
Declaration surcharge 37 CFR 1.16(e)	\$65.00
Extension of Time (First Month)	\$55.00
Basic filing fee	\$370.00

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Date July 2, 2002 FOLEY & LARDNER

Customer Number: 25734

25734
PATENT TRADEMARK OFFICE

Telephone: (415) 438-6454 Facsimile: (415) 434-4507 Respectfully submitted,

Jay P. Hendrickson Attorney for Applicant Registration No. 37,147



Atty. Dkt. No. 074066-0115 or CENTMED.016CP1

ITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Greg Fahy and Jun Wu

Title: Polyglycerol and Lactose Compositions for the

Protection of Living Systems from States of

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ASSERTION OF SMALL ENTITY STATUS

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.27 (c)(1), Applicants hereby assert entitlement to small entity status in the above-identified application.

Date July 2, 2002

FOLEY & LARDNER

Customer Number: 25734

PATENT TRADEMARK OFFICE

Telephone:

(415) 438-6454

Facsimile:

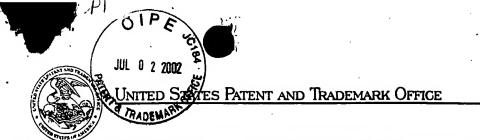
(415) 434-4507

Respectfully submitted,

Jay P. Hendrickson

Attorney for Applicants

Registration No. 37,147



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/066,285

02/01/2002

Greg Fahy

CENTMED.016CP1

CONFIRMATION NO. 3778

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

FORMALITIES LETTER
OC000000007763831

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/10/2002 BABRAHA1 00000015 10066285

01 FC:201 02 FC:205 03 EC:203

370.00 DP 65.00 DP 135.00 DP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$270.
 - \$270 for 15 total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1140.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));





Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY